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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/706,295

Filing Date November 12, 2003

First Named Inventor Brad Kellerman

Art Unit 3763

Examiner Name n/a

Attorney Docket Number ACSG-64929 (4217P)

**ENCLOSURES (Check all that apply)**

- ☐ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Reply  
☐ After Final  
☐ Affidavits/declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☒ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts/Incomplete Application  
☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)  
☐ Licensing-related Papers  
☐ Petition  
☐ Petition to Convert to a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund  
☐ CD, Number of CD(s) \_\_\_\_\_  
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- ☐ After Allowance Communication to TC  
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☐ Proprietary Information  
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name FULWIDER PATTON LLP

Signature

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THOMAS H. MAJCHER

Date

OCTOBER 6, 2006

Reg. No.

31,119

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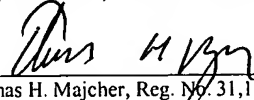
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

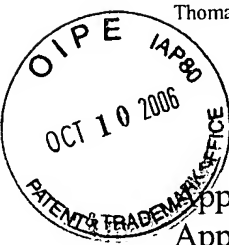
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Thomas H. Majcher, Reg. No. 31,119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/706,295 Confirmation No. 5762  
Applicant : Brad Kellerman  
Filed : November 12, 2003  
Art Unit : 3763  
Examiner : Unassigned  
Title : COMPONENT FOR DELIVERING AND LOCKING A  
MEDICAL DEVICE TO A GUIDE WIRE  
  
Docket No.: : ACSI-64929 (4217P) Los Angeles, California  
Customer No. : 24201 October 6, 2006

Commissioner for Patents  
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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

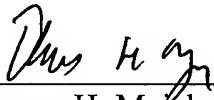
Pursuant to the duty of disclosure and 37 CFR § 1.97(b)(3), enclosed is Form PTO SB/08a, listing references which may be material to the patentability of the invention. The Examiner is respectfully requested to consider and cite the references. It is additionally requested that the Examiner indicate consideration of the cited references by returning a copy of the attached Form PTO SB/08a with initials and/or other appropriate marks. Copies of U.S. references are omitted pursuant to 1276 Off. Gaz. Pat. Off. 55, 8/5/2003: "The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b)."

This statement is not a representation that all of the information cited is necessarily effective as prior art against the present application or that a prior art search was performed.

This Information Disclosure Statement is being submitted pursuant to 37 CFR 1.97(b)(3), prior to the first Office Action on the merits, and therefore no fee is due. However, if a fee is in fact due, the Commissioner is authorized to charge any additional fee(s) to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LLP

By:   
Thomas H. Majcher  
Registration No. 31,119

THM:lm

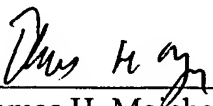
Howard Hughes Center  
6060 Center Drive, Tenth Floor  
Los Angeles, CA 90045  
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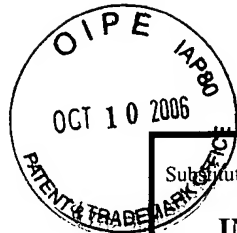
Respectfully submitted,

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Application Number	10/706,295
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Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

Sheet \_\_\_\_\_ of \_\_\_\_\_

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1.	RE38,972		02-07-2006	Purdy	
	2.	3,952,747		04-27-1976	Kimmell, Jr.	
	3.	4,452,908		01-17-1984	Simon	
	4.	4,494,531		01-22-1985	Gianturco	
	5.	4,612,931		09-23-1986	Dormia	
	6.	4,619,246		10-28-1986	Molgaard-Nielsen et al.	
	7.	4,643,184		02-17-1987	Mobin-Uddin	
	8.	4,650,466		03-17-1987	Luther	
	9.	4,662,885		05-05-1987	DiPisa, Jr.	
	10.	4,688,553		08-25-1987	Metals	
	11.	4,706,671		11-17-1987	Weinrib	
	12.	4,723,549		02-09-1988	Wholey et al.	
	13.	4,727,873		03-01-1998	Mobin-Uddin	
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	15.	4,790,812		12-13-1988	Hawkins, Jr. et al.	
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	17.	4,794,928		01-03-1989	Kletschka	
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	19.	4,873,978		10-17-1989	Ginsburg	
	20.	4,921,478		05-01-1990	Solano et al.	
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	22.	4,969,891		11-13-1990	Gewertz	
	23.	4,990,156		02-05-1991	Lefebvre	
	24.	4,997,435		03-05-1991	Demeter	
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	26.	5,053,008		10-01-1991	Bajaj	
	27.	5,064,428		11-12-1991	Cope et al.	
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	29.	5,092,839		03-03-1992	Kipperman	
	30.	5,100,423		03-31-1992	Fearnot	
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	39.	5,329,942		07-19-1994	Gunther et al.	
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	41.	5,350,398		09-27-1994	Pavcnik et al.	
	42.	5,370,657		12-06-1994	Irie	
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	44.	5,383,887		01-24-1995	Nadal	

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	45.	5,421,832		06-06-1995	Lefebvre	
	46.	5,490,859		02-13-1996	Mische et al.	
	47.	5,496,277		03-05-1996	Termin et al.	
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	49.	5,501,694		03-26-1996	Ressemann et al.	
	50.	5,549,626		08-27-1996	Miller et al.	
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	52.	5,626,605		05-06-1997	Irie et al.	
	53.	5,634,942		06 03 1997	Chevillon et al.	
	54.	5,649,953		07-22-1997	Lefebvre	
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	57.	5,669,933		09-23-1997	Simon et al.	
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	59.	5,695,518		12-09-1997	Laerum	
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	61.	5,720,764		02-24-1998	Naderlinger	
	62.	5,725,550		03-10-1998	Nadal	
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	64.	5,755,790		05-26-1998	Chevillon et al.	
	65.	5,769,816		06-23-1998	Barbut et al	
	66.	5,772,674		06-30-1998	Nakhjavan	
	67.	5,776,162		07-07-1998	Kleshinski	
	68.	5,779,716		07-14-1998	Cano et al.	
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	90.	5,935,139		08-10-1999	Bates	

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Sheet		of		Application Number	10/706,295
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		Number	Kind Code <sup>2</sup> (if known)			
	91.	5,941,869		08-24-1999	Patterson et al.	
	92.	5,941,896		08-24-1999	Kerr	
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	137.	6,206,868		03-27-2001	Parodi	
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	183.	6,375,670		04-02-2002	Greenhalgh	
	184.	6,383,206		05-7 -2002	Gillick et al.	
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(use as many sheets as necessary)

## **Complete if Known**

Application Number	10/706,295
Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

Sheet \_\_\_\_\_ of \_\_\_\_\_

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Application Number	10/706,295
Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

Sheet \_\_\_\_\_ of \_\_\_\_\_

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(use as many sheets as necessary)

**Complete if Known**

Application Number	10/706,295
Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

Sheet

of

**U.S. PATENT DOCUMENTS**

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(use as many sheets as necessary)

## **Complete if Known**

Application Number	10/706,295
Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

Sheet

of

## **U.S. PATENT DOCUMENTS**

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(use as many sheets as necessary)

**Complete if Known**

Application Number	10/706,295
Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

Sheet

of

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

of

## **Complete if Known**

Application Number	10/706,295
Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet \_\_\_\_\_ of \_\_\_\_\_

**Complete if Known**

Application Number	10/706,295
Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

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(use as many sheets as necessary)

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Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

Sheet

of

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Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

Sheet \_\_\_\_\_ of \_\_\_\_\_

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(use as many sheets as necessary)

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Application Number	10/706,295
Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

Sheet

of

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(use as many sheets as necessary)

Sheet

of

## **Complete if Known**

Application Number	10/706,295
Filing Date	November 12, 2003
First Named Inventor	Brad Kellerman
Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	ACSG-64929 (4217P)

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Filing Date	November 12, 2003
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Attorney Docket Number	ACSG-64929 (4217P)

Sheet

of

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				Application Number	10/706,295			
				Filing Date	November 12, 2003			
				First Named Inventor	Brad Kellerman			
				Group Art Unit	3763			
				Examiner Name	n/a			
				Attorney Docket Number	ACSG-64929 (4217P)			
Sheet		of						
<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner Signature						Date Considered		

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		Group Art Unit	3763		
		Examiner Name	n/a		
Sheet		of		Attorney Docket Number	ACSG-64929 (4217P)
<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		Dilatation of the Carotid Artery By A Temporary Carotid Filter By A. Beck, St. Milic, A.M. Spagnoli, November-December Issue of OPLITAI, An International Journal on Military Medicine and Health Emergencies, pages 67-74			
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